

Appendix F

FOSS Evaluation Form for CMS 2567

Federal Oversight/Support Survey (FOSS)

Evaluation Form for CMS 2567

Survey Identification and Status

This is a CMS 2567 evaluation of a FOSS Survey conducted by RO Team # _____ ending
_____ (*day of week, month/day/year*)

Facility Information

Provider Number: _____ Provider Type: _____

Facility Name: _____

Street: _____

City, ST, Zip: _____

Phone: _____

Federal Team Information

RO Team Members: (*ID, Name, Professional Specialty*)

ID# _____ Name _____ Professional Specialty _____

ID# _____ Name _____ Professional Specialty _____

ID# _____ Name _____ Professional Specialty _____

ID# _____ Name _____ Professional Specialty _____

ID# _____ Name _____ Professional Specialty _____

ID# _____ Name _____ Professional Specialty _____

Directions

The purpose of the FOSS CMS 2567 evaluation is to compare the way a facility's deficiencies are treated (in terms of Tags cited and severity levels assigned) at three different points in the citation process and/or from three different perspectives:

- *the SA Team's perspective* – what the SA Team decided to cite during their Task 6 deficiency determination meeting.
- *the RO Evaluator's(s') perspective* – what the evaluator(s) believes the SA Team should have cited based on his/her observation of the SA survey, limited independent fact-finding (if conducted), observation of the SA Team's deficiency determination meeting, and interpretation of any additional survey or facility-related information the team provided during the debriefing. The evaluator(s) may include in this perspective deficiencies that the team needs to gather additional information to cite as long as the evaluator(s) has communicated to the team: (1) that he/she has evidence that a deficiency exists, (2) the nature of that evidence, (3) that the team will need to gather additional information to cite the deficiency, and (4) that the team is expected to gather that information.
- *the CMS 2567 form* – what the state actually cited on the facility copy of the CMS 2567.

The information needed to compare the three perspectives is entered by the RO Evaluator(s) into this "FOSS Evaluation Form for CMS 2567", as follows:

After you have observed the SA Team's deficiency determination meeting and debriefed them on their performance

- *Enter all Tag numbers that the SA Team decided to cite. Use a separate table for each Tag. Enter the SA Team's Tag numbers, regardless of whether you believe them to be correct.*
- *For each Tag Number the team cited, complete the appropriate boxes to indicate the scope/severity they assigned to the Tag, the scope/severity you believe they should have cited, and, if there is a better Tag number they could have used, what the better Tag number is. (If you believe the SA Team should not have cited the deficiency at all, enter "M" in the upper "RO S/S was" box but complete the other boxes as described.) For the deficiencies the SA Team cited, therefore, you will be using the shaded boxes in the table below, with the "Better Tag" box completed only if applicable.*

| | | |
|--|--|--|
| Tag Number | Task 6 SA S/S was | and RO S/S was |
| <div style="border: 1px solid black; width: 50px; height: 20px; background-color: #cccccc; margin: 0 auto;"></div> | <div style="border: 1px solid black; width: 50px; height: 20px; background-color: #cccccc; margin: 0 auto;"></div> | <div style="border: 1px solid black; width: 50px; height: 20px; background-color: #cccccc; margin: 0 auto;"></div> |
| Better Tag | Facility 2567 SA S/S was | and RO S/S was |
| <div style="border: 1px solid black; width: 50px; height: 20px; background-color: #cccccc; margin: 0 auto;"></div> | <div style="border: 1px solid black; width: 50px; height: 20px; margin: 0 auto;"></div> | <div style="border: 1px solid black; width: 50px; height: 20px; margin: 0 auto;"></div> |
| Was Tag | Comments | |
| <div style="border: 1px solid black; width: 50px; height: 20px; margin: 0 auto;"></div> | <div style="border: 1px solid black; width: 500px; height: 40px; margin: 0 auto;"></div> | |

- *If the Team omitted a deficiency(ies) that you think is warranted by the evidence (that is, if they failed to cite it under any Tag), enter the appropriate Tag number for that Tag in a separate table and use the Comments box to note the omission. Also enter an “X” in the “Task 6 SA S/S was” box and indicate what the scope/severity should be in the “RO S/S was” box. For these Tags, use the boxes of the table that are shaded below.*

| | | | | | |
|------------|----------------------|----------------------------------|----------------------|----------------|----------------------|
| Tag Number | <input type="text"/> | Task 6 SA S/S was | <input type="text"/> | and RO S/S was | <input type="text"/> |
| Better Tag | <input type="text"/> | Facility 2567 SA S/S was | <input type="text"/> | and RO S/S was | <input type="text"/> |
| Was Tag | <input type="text"/> | Comments <input type="text"/> | | | |

After you receive the facility copy of the CMS 2567

- *For each Tag number table you have previously created (either because the SA Team decided to cite the Tag number in Task 6 or because you think they should have decided to cite the Tag number), indicate the scope/severity assigned to that Tag number on the CMS 2567, and the scope/severity you think is appropriate for that Tag. (If you think the SA Team should not have cited the deficiency at all, enter “M” in the lower “RO S/S was” box but complete the other box as described.)*
- *If the CMS 2567 omits the Tag number for a particular table that has already been created, enter “X” in the “Facility 2567 SA S/S was” box for that table and indicate what you think the scope/severity should be. Also note the omission in the Comments box. (If you think the SA Team should not have cited the deficiency at all, enter “M” in the lower “RO S/S was” box but complete the other boxes as described.) For each existing Tag number table, therefore, you will be entering information into the first two boxes shaded below. For Tag numbers omitted on the CMS 2567, you will also be entering information into the Comments box. (Note that many of the other boxes in the tables for these Tags will already contain information that you have previously entered.)*

| | | | | | |
|------------|----------------------|----------------------------------|----------------------|----------------|----------------------|
| Tag Number | <input type="text"/> | Task 6 SA S/S was | <input type="text"/> | and RO S/S was | <input type="text"/> |
| Better Tag | <input type="text"/> | Facility 2567 SA S/S was | <input type="text"/> | and RO S/S was | <input type="text"/> |
| Was Tag | <input type="text"/> | Comments <input type="text"/> | | | |

- ***For any Tag number on the CMS 2567 that does not appear in a previously created table, enter the Tag number in a new table on the form. Indicate the Tag’s scope/severity as stated on the CMS 2567 as well as what you think the scope/severity should be. If the deficiency was represented in the SA Task 6 citations or in the RO Evaluator’s(s’) unique citations (but at a different Tag number), also indicate that previous Tag number in the “Was Tag” box. (If you think the SA Team should not have cited the deficiency at all, enter “M” in the lower “RO S/S was” box but complete the other boxes as described.) The sections of the table that will be completed for these Tags are shaded below (with the “Was Tag” box completed only if applicable).***

| | | | | | |
|------------|--|--------------------------|--|----------------|--|
| Tag Number | | Task 6 SA S/S was | | and RO S/S was | |
| Better Tag | | Facility 2567 SA S/S was | | and RO S/S was | |
| Was Tag | | Comments | | | |

As you complete the CMS 2567 evaluation form, use the Comments boxes to provide any additional information you think is necessary for someone to understand how a deficiency was handled.

Appendix G of this FOSS Manual provides specific instructions for completing the individual data fields.

| | | | | | |
|------------|----------------------|---------------------------------------|----------------------|----------------|----------------------|
| Tag Number | <input type="text"/> | Task 6 SA S/S was | <input type="text"/> | and RO S/S was | <input type="text"/> |
| Better Tag | <input type="text"/> | Facility 2567 SA S/S was | <input type="text"/> | and RO S/S was | <input type="text"/> |
| Was Tag | <input type="text"/> | <input type="text" value="Comments"/> | | | |

| | | | | | |
|------------|----------------------|---------------------------------------|----------------------|----------------|----------------------|
| Tag Number | <input type="text"/> | Task 6 SA S/S was | <input type="text"/> | and RO S/S was | <input type="text"/> |
| Better Tag | <input type="text"/> | Facility 2567 SA S/S was | <input type="text"/> | and RO S/S was | <input type="text"/> |
| Was Tag | <input type="text"/> | <input type="text" value="Comments"/> | | | |

| | | | | | |
|------------|----------------------|---------------------------------------|----------------------|----------------|----------------------|
| Tag Number | <input type="text"/> | Task 6 SA S/S was | <input type="text"/> | and RO S/S was | <input type="text"/> |
| Better Tag | <input type="text"/> | Facility 2567 SA S/S was | <input type="text"/> | and RO S/S was | <input type="text"/> |
| Was Tag | <input type="text"/> | <input type="text" value="Comments"/> | | | |

| | | | | | |
|------------|----------------------|---------------------------------------|----------------------|----------------|----------------------|
| Tag Number | <input type="text"/> | Task 6 SA S/S was | <input type="text"/> | and RO S/S was | <input type="text"/> |
| Better Tag | <input type="text"/> | Facility 2567 SA S/S was | <input type="text"/> | and RO S/S was | <input type="text"/> |
| Was Tag | <input type="text"/> | <input type="text" value="Comments"/> | | | |